

**SYLLABI**  
**For**  
**Value Added Course**  
**On**  
**Certificate Course in NISM Derivative Market Module**

**Offered by**  
**Department of Management Sciences**  
**Masters of Business Administration**  
**(Session: 2021-22)**



**TECNIA INSTITUTE OF ADVANCED STUDIES**  
**NAAC ACCREDITED GRADE "A" INSTITUTE**  
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**GURU GOBIND SINGH INDRAPRASTHA UNIVERSITY, DELHI**  
**MASTERS OF BUSINESS ADMINISTRATION (MBA)**

**Certificate Course in NISM Derivative Market Module**

**Course Code: MS 504**

**L-2, T-0Credits 2**

**Objectives:** The course aims to provide understanding of the various trading strategies that can be built using futures and options on both stocks and stock indices.

**Learning Outcomes:**

- Understand the conceptual framework for Derivative. and the basics concepts of mutual funds
- Learn the role and support functions of service providers of mutual funds
- Learn about the distribution of mutual funds, evaluation of mutual fund schemes
- Discover about the legal, accounting and taxation aspects of underlying mutual funds and their distribution
- Understand the key components of an offer document

**Course Contents:**

**Unit I**

**(7 Hours)**

Concept and Role of a NISM Derivative Market module, Fund Structure and Constituents, Understand the conceptual framework for Derivative. and the basics concepts of mutual funds such as their role, structure, different mutual fund schemes feature. Learn the role and support functions of service providers of mutual funds become SEBI approved Derivative market dealer.

**Unit II**

**(8 Hours)**

NISM Derivative Products, Performance of NISM Derivative Market Understand the classification of mutual fund schemes and become employable in Banking sector, broking houses, Learn about the distribution of mutual funds, evaluation of mutual fund schemes, recommendation of suitable products and services to both existing investors and prospective investors in the market

**Unit III**

**(7 Hours)**

NISM Derivative Taxation, discover about the legal, accounting and taxation aspects of underlying mutual funds and their distribution, Offer Document, Fund Distribution and Sales Practices, distribution channels for mutual funds and the regulations and code of conduct for selling mutual fund schemes, Investor Transactions, mutual fund investors and different transaction mediums

## Unit IV

(8 Hours)

Asset Classes, Financial Planning Concepts, concept of financial planning as an approach to investing in mutual funds as well as a tool for the mutual fund distributors to develop long term relationships, Helping Investors with Financial Planning, Understand the steps of financial planning and the important parameters for selecting mutual fund schemes

**Note: Latest Provisions to be taught.**

### **Suggested Readings (All latest editions to be referred):**

- David Sutton. "Cyber security: A practitioner's guide", BCS Learning Development Limited, UK, 2017.
- Study Material prepared by NISM for NISM – Mutual Fund Module
- Legal, P., & Laws, S. (2009). National Institute of Securities Markets.
- Sinha, A. K., Saha, S., & Madhuri, G. Growth and Development of Currency derivatives market In India.
- Assessment, A. I. (2022). Regulatory Risk Containment Measures on Single Stock Derivatives. *Economic & Political Weekly*, 57(20), 77.

**Evaluation Pattern:** On the basis of MCQ exam followed by Viva Voce