

Course Module

For

Certificate Course in NISM Derivative Market Module

Total Duration: 30 Hours

Course Structure

S.No.	Contents Deliverance	Learning Outcomes
1	Concept and Role of a NISM Derivative Market module (3 hours)	Understand the conceptual framework for Derivative. and the basics concepts of mutual funds such as their role, structure, different mutual fund schemes feature.
2	Fund Structure and Constituents (2 hours)	Learn the role and support functions of service providers of mutual funds become SEBI approved Derivative market dealer.
3	NISM Derivative Products (2 hours)	Understand the classification of mutual fund schemes and become employable in Banking sector, broking houses etc.
4	Performance of NISM Derivative Market (3 hours)	Learn about the distribution of mutual funds, evaluation of mutual fund schemes, recommendation of suitable products and services to both existing investors and prospective investors in the market
5	NISM Derivative Taxation (2 hours)	Discover about the legal, accounting and taxation aspects of underlying mutual funds and their distribution
6	Offer Document (2 hours)	Understand the key components of an offer document
7	Fund Distribution and Sales Practices (2 hours)	Learn various distribution channels for mutual funds and the regulations and code of conduct for selling mutual fund schemes
8	Investor Transactions (3 hours)	Learn the compliance requirements for mutual fund investors and different transaction mediums
9	Investment Services (3 hours)	Understand the systematic transaction processes and the contents and periodicity of Statement of Account
10	Asset Classes (2 hours)	Understand the objective behind investing in different asset classes through mutual funds

11	Financial Planning Concepts (2 hours)	Understand the concept of financial planning as an approach to investing in mutual funds as well as a tool for the mutual fund distributors to develop long term relationships with their clients
12	Helping Investors with Financial Planning (4 hours)	Understand the steps of financial planning and the important parameters for selecting mutual fund schemes

References:

- David Sutton. "Cyber security: A practitioner's guide", BCS Learning. Development Limited, UK, 2017.
- Study Material prepared by NISM for NISM – Mutual Fund Module
- Legal, P., & Laws, S. (2009). National Institute of Securities Markets.
- Sinha, A. K., Saha, S., & Madhuri, G. Growth and Development of Currency derivatives market In India.
- Assessment, A. I. (2022). Regulatory Risk Containment Measures on Single Stock Derivatives. *Economic & Political Weekly*, 57(20), 77.

Evaluation Pattern: On the basis of MCQ exam followed by Viva Voce