

**TECNIA INSTITUTE OF ADVANCED STUDIES**

NAAC ACCREDITED GRADE "A" INSTITUTE

Approved by AICTE, Ministry of HRD, Govt. of India, Affiliated to GGSIP University
Recognized Under Sec. 2(f) of UGC Act 1956**INSTITUTIONAL AREA MADHUBAN CHOWK, ROHINI, DELHI 110085**

Tel:91-11-27555121-24, E-Mail : directortias@tecnia.in, Website: www.tiaspg.tecnia.in

**Department of Management Sciences
(Session: 2021-2022)****Dated: 10/4/2022****Kind Attn: MBA Students****Subject: Certificate Course in NISM Derivative Market Module**

Status as on date: 10/04/2022

This is to certify that the course module for Certificate Course in NISM Derivative Market Module scheduled from 16.02.2022 to 06.04.2022 comprising of 30 Hours deliverance has been completed by the resource person Dr. Sheenu Arora, Faculty in Department of Management Sciences, MBA with the module contents as under:-

S.No.	Contents Deliverance	Learning Outcomes
1	Concept and Role of a NISM Derivative Market (3 hours)	Understand the basics concepts of NISM Derivative market such as their role, structure, different schemes features
2	Fund Structure and Constituents (2 hours)	Learn the role and support functions of service providers of derivative market
3	NISM Products (2 hours)	Understand the classification of mutual fund schemes
4	Performance of Derivative Market (3 hours)	Learn about the distribution of mutual funds, evaluation of mutual fund schemes, recommendation of suitable products and services to both existing investors and prospective investors in the market
5	Derivative Market Taxation (2 hours)	Discover about the legal ,accounting and taxation aspects of underlying derivative market and their distribution
6	Offer Document (2 hours)	Understand the key components of an offer document
7	Fund Distribution and Sales Practices (2 hours)	Learn various distribution channels for mutual funds and the regulations and code of conduct for selling mutual fund schemes
8	Investor Transactions (3 hours)	Learn the compliance requirements for mutual fund investors and different transaction mediums



9	Investment Services (3 hours)	Understand the systematic transaction processes and the contents and periodicity of Statement of Account
10	Asset Classes (2 hours)	Understand the objective behind investing in different asset classes through mutual funds
11	Financial Planning Concepts (2 hours)	Understand the concept of financial planning as an approach to investing in mutual funds as well as a tool for the mutual fund distributors to develop long term relationships with their clients
12	Helping Investors with Financial Planning (4 hours)	Understand the steps of financial planning and the important parameters for selecting mutual fund schemes

All the above contents are delivered to the best of my knowledge and belief and nothing is withheld.

Sheenu

Signature of Faculty

Name of Faculty: Dr. Sheenu Arora

Dated: 10/04/22

Submitted to




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Student's Declaration

We would like to declare, that the course module for Certificate Course in NISM Derivative Market Module scheduled from 16.02.2022 to 06.04.2022 comprising of 30 Hours deliverance has been completed by the resource person Dr. Sheenu Arora, Faculty in Department of Management Sciences, MBA to our satisfaction and is able to help in developing our domain knowledge and capacity building.

S.No	Enrollment No	Name	Signature
1)	00217003920	Kshitij Malik	
2	00917003920	Divya Chauhan	
3.	35217003920	Ayush	
4.	00817002021	Satvik	
5.	02417003920	Vishali	