



# TECNIA INSTITUTE OF ADVANCED STUDIES

NAAC ACCREDITED GRADE "A" INSTITUTE

Approved by AICTE, Ministry of HRD, Govt. of India, Affiliated to GGSIP University  
Recognized Under Sec. 2(f) of UGC Act 1956

INSTITUTIONAL AREA MADHUBAN CHOWK, ROHINI, DELHI 110085

Tel: 91-11-27555121-24, E-Mail : directortias@tecnia.in, Website: www.tiaspg.tecnia.in



## Department of Management Sciences (Session: 2020-21)

Dated: 15/07/2021

Kind Attn: MBA Students

Subject: Certificate Course in NISM Mutual Fund (Foundation Module)

This is to certify that the course module for Certificate Course in NISM Mutual Fund (Foundation Module) scheduled from 06.04.2021 to 13.07.2021 comprising of 30 Hours deliverance has been completed by the resource person Dr. Y. P. Singh, Faculty in Department of Management Sciences ,MBA with the module contents as under:-

S.No.	Contents Deliverance	Learning Outcomes
1	Concept and Role of a Mutual Fund (3 hours)	Understand the basics concepts of mutual funds such as their role, structure, different mutual fund schemes features
2	Fund Structure and Constituents (2 hours)	Learn the role and support functions of service providers of mutual funds
3	Mutual Fund Products (2 hours)	Understand the classification of mutual fund schemes
4	Performance of Mutual Funds (3 hours)	Learn about the distribution of mutual funds, evaluation of mutual fund schemes , recommendation of suitable products and services to both existing investors and prospective investors in the market
5	Mutual Funds Taxation (2 hours)	Discover about the legal ,accounting and taxation aspects of underlying mutual funds and their distribution
6	Offer Document (2 hours)	Understand the key components of an offer document
7	Fund Distribution and Sales Practices (2 hours)	Learn various distribution channels for mutual funds and the regulations and code of conduct for selling mutual fund schemes
8	Investor Transactions (3 hours)	Learn the compliance requirements for mutual fund investors and different transaction mediums
9	Investment Services (3 hours)	Understand the systematic transaction processes and the contents and periodicity of Statement of

		Account
10	Asset Classes (2 hours)	Understand the objective behind investing in different asset classes through mutual funds
11	Financial Planning Concepts (2 hours)	Understand the concept of financial planning as an approach to investing in mutual funds as well as a tool for the mutual fund distributors to develop long term relationships with their clients
12	Helping Investors with Financial Planning (4 hours)	Understand the steps of financial planning and the important parameters for selecting mutual fund schemes

All the above contents are delivered to the best of my knowledge and belief and nothing is withheld.

*y.p.singh*

Signature of Faculty

Name of Faculty: *Dr. Y. P. Singh*

Dated: *15/08/21*

Submitted to HoD-

*AB*  
HoD  
MBA-TIAS



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## Student's Declaration

We would like to declare, that the course module for Certificate Course in NISM Mutual Fund (Foundation Module) scheduled from 06.04.2021 to 13.07.2021 comprising of 30 Hours deliverance has been completed by the resource person Dr. Y. P. Singh, Faculty in Department of Management Sciences, MBA to our satisfaction and is able to help in developing our domain knowledge and capacity building.

S.No	Enrollment No	Name	Signature
1.	00317003920	Sandeep Aupta	Sandeep Aupta
2.	35219003920	Ansh	Ansh
3.	00617002020	Anshul Rawal	Anshul Rawal
4.	00917002020	Ashish	Ashish
5.	01317002420	Ansh	Ansh