



**Department of Management Sciences**  
**(Session: 2019-20)**

Dated: 2/12/2019

Kind Attn: TIAS Students

Subject: Certificate Course in NISM Mutual Fund Module Examination

Status as on date: 2/12/2019

This is to certify that the course module for Certificate Course in Certificate Course in NISM Mutual Fund module examination scheduled from 19.08.2019 to 30.11.2019 comprising of 30 Hours deliverance has been completed by the resource person Dr. Y.P. Singh, Faculty in Department of Management Sciences MBA with the module contents as under:-

S.No	Contents Deliverance	Learning Outcomes
1	Concept and Role of a Mutual Fund (3 hours)	Understand the basics concepts of mutual funds such as their role, structure, different mutual fund schemes features
2	Fund Structure and Constituents (2 hours)	Learn the role and support functions of service providers of mutual funds
3	Mutual Fund Products (2 hours)	Understand the classification of mutual fund schemes
4	Performance of Mutual Funds (3 hours)	Learn about the distribution of mutual funds, evaluation of mutual fund schemes, recommendation of suitable products and services to both existing investors and prospective investors in the market
5	Mutual Funds Taxation (2 hours)	Discover about the legal, accounting and taxation aspects of underlying mutual funds and their distribution
6	Offer Document (2 hours)	Understand the key components of an offer document
7	Fund Distribution and Sales Practices (2 hours)	Learn various distribution channels for mutual funds and the regulations and code of conduct for selling mutual fund schemes
8	Investor Transactions (3 hours)	Learn the compliance requirements for mutual

9	Investment Services (3 hours)	fund investors and different transaction mediums Understand the systematic transaction processes and the contents and periodicity of Statement of Account
10	Asset Classes (2 hours)	Understand the objective behind investing in different asset classes through mutual funds
11	Financial Planning Concepts (2 hours)	Understand the concept of financial planning as an approach to investing in mutual funds as well as a tool for the mutual fund distributors to develop long term relationships with their clients
12	Helping Investors with Financial Planning (4 hours)	Understand the steps of financial planning and the important parameters for selecting mutual fund schemes

All the above contents are delivered to the best of my knowledge and belief and nothing is withheld.

*y p singh*  
Signature of Resource Person

Name of Resource Person: *Dr y p Singh*

Dated: *2/12/2019*

Submitted to HoD *AB*  
MBA-TIAS





# TECNIA INSTITUTE OF ADVANCED STUDIES

NAAC ACCREDITED GRADE "A" INSTITUTE

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## STUDENT'S DECLARATION

We would like to declare that the course module for Certificate Course in Certificate Course in NISM Mutual Fund module examination scheduled from 19.08.2019 to 30.11.2019 comprising of 30 Hours deliverance has been completed by the resource person Dr. Y.P. Singh, Faculty in Department of Management Sciences MBA to our satisfaction and is able to help in developing our professional skills and knowledge.

### Batch 1

S.No	Enrollment No	Name	Signature
1	00317003917	Ajay Saini	Ajay
2	02017003917	Divya	Divya
3	00117001719	Caagan Aael	Caagan
4	04217003917	Peggy	Peggy
5	05017003917	Rohit	Rohit

### Batch 2

S.No	Enrollment No	Name	Signature
1	05317003917	Sahil	Sahil
2	06717003917	Saurav	Saurav
3	40717003917	Raveena	Raveena
4	00717002419	Tejaswita	Teja
5	05217001819	Divya	Divya
6	35317002019	Divish Manuja	Divish

## Batch 3

S.No	Enrollment No	Name	Signature
1.	42912003917	Kirti Gupta.	Kirti..
2.	35517003917	Narima	Narima
3.	02221303917	Kishan	Kishan
4.	02817002420	Dev	Dev
5.	04821303917	Vaishali Mittal.	Vaishali

## Batch 4

S.No	Enrollment No	Name	Signature
1.	40221303917	Arun Arya.	Arun
2.	41221303917	Mohit	Mohit
3.	41221303917	Kirti	Kirti
4.	43221303917	Manu	Manu
5.	00412001719	Vaishali Chopra	Vaishali