

**TECNIA INSTITUTE OF ADVANCED STUDIES**

NAAC ACCREDITED GRADE "A" INSTITUTE

Approved by AICTE, Ministry of Education Govt. of India, Affiliated to GGSIP University

Recognized Under Sec. 2(f) of UGC Act 1956

INSTITUTIONAL AREA MADHUBAN CHOWK, ROHINI, DELHI 110085

Tel: 91-11-27555121-24, E-Mail : directortias@tecnia.in, Website: www.tiaspp.tecnia.in



Department of Management Sciences

(Session: 2021-22)

Ref: TIAS/2021-22/BBA/ACAD/VAC/105

Dated: 17/6/2022

(Batch -1)

Value Added Course: Certificate Course in NISM Mutual Fund

Status as on date: 17/6/2022

This is to certify that the course module for Value Added Course titled Certificate Course in NISM Mutual Fund scheduled from 25.02.2022 to 17.06.2022 comprising of 30 Hours deliverance has been completed by the resource person Dr. Y. P. Singh, Faculty in Department of Management Sciences with the module contents as under: -

S.No.	Contents Deliverance	Learning Outcomes
1	Concept and Role of a Mutual Fund (3 hours)	Understand the basics concepts of mutual funds such as their role, structure, different mutual fund schemes features
2	Fund Structure and Constituents (2 hours)	Learn the role and support functions of service providers of mutual funds
3	Mutual Fund Products (2 hours)	Understand the classification of mutual fund schemes
4	Performance of Mutual Funds (3 hours)	Learn about the distribution of mutual funds, evaluation of mutual fund schemes , recommendation of suitable products and services to both existing investors and prospective investors in the market
5	Mutual Funds Taxation (2 hours)	Discover about the legal ,accounting and taxation aspects of underlying mutual funds and their distribution
6	Offer Document (2 hours)	Understand the key components of an offer document
7	Fund Distribution and Sales Practices (2 hours)	Learn various distribution channels for mutual funds and the regulations and code of conduct for selling mutual fund schemes
8	Investor Transactions (3 hours)	Learn the compliance requirements for mutual fund investors and different transaction mediums
9	Investment Services (3 hours)	Understand the systematic transaction processes and the contents and periodicity of Statement of Account
10	Asset Classes (2 hours)	Understand the objective behind investing in different asset classes through mutual funds
11	Financial Planning Concepts (2 hours)	Understand the concept of financial planning as an approach to investing in mutual funds as well as a



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		tool for the mutual fund distributors to develop long term relationships with their clients
12	Helping Investors with Financial Planning (4 hours)	Understand the steps of financial planning and the important parameters for selecting mutual fund schemes

All the above contents are delivered to the best of my knowledge and belief and nothing is withheld.

Signature of Resource Person

Dr. Y.P. Singh
Name of Resource Person

Dated: 17/6/22

Submitted to HoD-

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HoD
BBA-TIAS



Department of Management Sciences
(Session: 2021-22)

Ref: TIAS/2021-22/BBA/ACAD/VAC/105

Dated: 17/6/2022

(Batch -2)

Value Added Course: Certificate Course in NISM Mutual Fund

Status as on date: 17/6/2022

This is to certify that the course module for Value Added Course titled Certificate Course in NISM Mutual Fund scheduled from 25.02.2022 to 17.06.2022 comprising of 30 Hours deliverance has been completed by the resource person Ms. Vaishali Prashad, Faculty in Department of Management Sciences with the module contents as under: -

S.No.	Contents Deliverance	Learning Outcomes
1	Concept and Role of a Mutual Fund (3 hours)	Understand the basics concepts of mutual funds such as their role, structure, different mutual fund schemes features
2	Fund Structure and Constituents (2 hours)	Learn the role and support functions of service providers of mutual funds
3	Mutual Fund Products (2 hours)	Understand the classification of mutual fund schemes
4	Performance of Mutual Funds (3 hours)	Learn about the distribution of mutual funds, evaluation of mutual fund schemes , recommendation of suitable products and services to both existing investors and prospective investors in the market
5	Mutual Funds Taxation (2 hours)	Discover about the legal ,accounting and taxation aspects of underlying mutual funds and their distribution
6	Offer Document (2 hours)	Understand the key components of an offer document
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9	Investment Services (3 hours)	Understand the systematic transaction processes and the contents and periodicity of Statement of Account
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12	Helping Investors with Financial Planning (4 hours)	Understand the steps of financial planning and the important parameters for selecting mutual fund schemes

All the above contents are delivered to the best of my knowledge and belief and nothing is withheld.

Varshali
Signature of Resource Person

Ms. Varshali Parshad
Name of Resource Person:

Dated: *17/6/22*

Submitted to HoD-

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Department of Management Sciences

(Session: 2021-22)

Ref: TIAS/2021-22/BBA/ACAD/VAC/105

Dated: 17/6/2022

(Batch -3)

Value Added Course: Certificate Course in NISM Mutual Fund

Status as on date: 17/6/2022

This is to certify that the course module for Value Added Course titled Certificate Course in NISM Mutual Fund scheduled from 25.02.2022 to 17.06.2022 comprising of 30 Hours deliverance has been completed by the resource person Dr. Namita Mishra, Faculty in Department of Management Sciences with the module contents as under: -

S.No.	Contents Deliverance	Learning Outcomes
1	Concept and Role of a Mutual Fund (3 hours)	Understand the basics concepts of mutual funds such as their role, structure, different mutual fund schemes features
2	Fund Structure and Constituents (2 hours)	Learn the role and support functions of service providers of mutual funds
3	Mutual Fund Products (2 hours)	Understand the classification of mutual fund schemes
4	Performance of Mutual Funds (3 hours)	Learn about the distribution of mutual funds, evaluation of mutual fund schemes , recommendation of suitable products and services to both existing investors and prospective investors in the market
5	Mutual Funds Taxation (2 hours)	Discover about the legal ,accounting and taxation aspects of underlying mutual funds and their distribution
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10	Asset Classes (2 hours)	Understand the objective behind investing in different asset classes through mutual funds
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12	Helping Investors with Financial Planning (4 hours)	Understand the steps of financial planning and the important parameters for selecting mutual fund schemes

All the above contents are delivered to the best of my knowledge and belief and nothing is withheld.

Namita

Signature of Resource Person

Dr. Namita Mishra
Name of Resource Person:

Dated: *17/6/22*

Submitted to HoD-

Namita HoD
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Department of Management Sciences
(Session: 2021-22)

Ref: TIAS/2021-22/BBA/ACAD/VAC/105

Dated: 17/6/2022

(Batch -4)

Value Added Course: Certificate Course in NISM Mutual Fund

Status as on date: 17/6/2022

This is to certify that the course module for Value Added Course titled Certificate Course in NISM Mutual Fund scheduled from 25.02.2022 to 17.06.2022 comprising of 30 Hours deliverance has been completed by the resource person Dr. Ritwik Bisariya, Faculty in Department of Management Sciences with the module contents as under: -

S.No.	Contents Deliverance	Learning Outcomes
1	Concept and Role of a Mutual Fund (3 hours)	Understand the basics concepts of mutual funds such as their role, structure, different mutual fund schemes features
2	Fund Structure and Constituents (2 hours)	Learn the role and support functions of service providers of mutual funds
3	Mutual Fund Products (2 hours)	Understand the classification of mutual fund schemes
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12	Helping Investors with Financial Planning (4 hours)	Understand the steps of financial planning and the important parameters for selecting mutual fund schemes

All the above contents are delivered to the best of my knowledge and belief and nothing is withheld.

Signature of Resource Person

Dr. Ritwik Bisariya
Name of Resource Person:

Dated: 17/6/22

Submitted to HoD-

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Department of Management Sciences
(Session: 2021-22)

Ref: TIAS/2021-22/BBA/ACAD/VAC/105

Dated: 17/6/2022

(Batch -5)

Value Added Course: Certificate Course in NISM Mutual Fund

Status as on date: 17/6/2022

This is to certify that the course module for Value Added Course titled Certificate Course in NISM Mutual Fund scheduled from 25.02.2022 to 17.06.2022 comprising of 30 Hours deliverance has been completed by the resource person Ms. Shimpy Goyal, Faculty in Department of Management Sciences with the module contents as under: -

S.No.	Contents Deliverance	Learning Outcomes
1	Concept and Role of a Mutual Fund (3 hours)	Understand the basics concepts of mutual funds such as their role, structure, different mutual fund schemes features
2	Fund Structure and Constituents (2 hours)	Learn the role and support functions of service providers of mutual funds
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12	Helping Investors with Financial Planning (4 hours)	Understand the steps of financial planning and the important parameters for selecting mutual fund schemes

All the above contents are delivered to the best of my knowledge and belief and nothing is withheld.

Shimpy Goyal
Signature of Resource Person

Ms. Shimpy Goyal
Name of Resource Person:

Dated: *17/6/2022*

Submitted to HoD-

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Department of Management Sciences
(Session: 2021-22)

Ref: TIAS/2021-22/BBA/ACAD/VAC/105

Dated: 17/6/2022

(Batch -6)

Value Added Course: Certificate Course in NISM Mutual Fund

Status as on date: 17/6/2022

This is to certify that the course module for Value Added Course titled Certificate Course in NISM Mutual Fund scheduled from 25.02.2022 to 17.06.2022 comprising of 30 Hours deliverance has been completed by the resource person Ms. Ambooj, Faculty in Department of Management Sciences with the module contents as under: -

S.No.	Contents Deliverance	Learning Outcomes
1	Concept and Role of a Mutual Fund (3 hours)	Understand the basics concepts of mutual funds such as their role, structure, different mutual fund schemes features
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12	Helping Investors with Financial Planning (4 hours)	Understand the steps of financial planning and the important parameters for selecting mutual fund schemes

All the above contents are delivered to the best of my knowledge and belief and nothing is withheld.

Ambooj

Signature of Resource Person

Ms. Ambooj

Name of Resource Person:

Dated: *17/6/22*

Submitted to HoD-

Meenu HoD
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