

Approved by AICTE, Ministry of Education Govt. of India, Affiliated to GGSIP University
Recognized Under Sec. 2(f) of UGC Act 1956
NSTITUTIONAL AREA MADHUBAN CHOWK, ROHINI, DELHI 110085

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Department of Management Sciences (Session: 2021-22)

Ref: TIAS/2021-22/BBA/ACAD/VAC/105

Dated: 17/6/2022

(Batch -1)

Value Added Course: Certificate Course in NISM Mutual Fund

Status as on date: 17/6/2022

This is to certify that the course module for Value Added Course titled Certificate Course in NISM Mutual Fund scheduled from 25.02.2022 to 17.06.2022 comprising of 30 Hours deliverance has been completed by the resource person Dr. Y. P. Singh, Faculty in Department of Management Sciences with the module contents as under: -

S.No.	Contents Deliverance	Learning Outcomes .
1	Concept and Role of a Mutual Fund (3 hours)	Understand the basics concepts of mutual funds such as their role, structure, different mutual fund schemes features
2	Fund Structure and Constituents (2 hours)	Learn the role and support functions of service providers of mutual funds
3	Mutual Fund Products (2 hours)	Understand the classification of mutual fund schemes
4	Performance of Mutual Funds (3 hours)	Learn about the distribution of mutual funds, evaluation of mutual fund schemes , recommendation of suitable products and services to both existing investors and prospective investors in the market
5	Mutual Funds Taxation (2 hours)	Discover about the legal ,accounting and taxation aspects of underlying mutual funds and their distribution
6	Offer Document (2 hours)	Understand the key components of an offer document
7	Fund Distribution and Sales Practices (2 hours)	Learn various distribution channels for mutual funds and the regulations and code of conduct for selling mutual fund schemes
8	Investor Transactions (3 hours)	Learn the compliance requirements for mutual fund investors and different transaction mediums
9	Investment Services (3 hours)	Understand the systematic transaction processes and the contents and periodicity of Statement of Account
10	Asset Classes (2 hours)	Understand the objective behind investing in different asset classes through mutual funds
11	Financial Planning Concepts (2 hours)	Understand the concept of financial planning as ar approach to investing in mutual funds as well as a

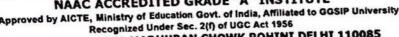


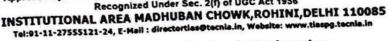
		tool for the mutual fund distributors to develop long term relationships with their clients
12	Helping Investors with Financial Planning (4 hours)	Understand the steps of financial planning and the important parameters for selecting mutual fund schemes

All the above contents are delivered to the best of my knowledge and belief and nothing is withheld.

Signature of Resource Person

Name of Resource Person Dated: 17/6/22







Student's Declaration

We would like to declare, that the course module for Value Added Course titled Certificate Course in NISM Mutual Fund scheduled from 25.02.2022 to 17.06.2022 comprising of 30 Hours deliverance has been completed by the resource person Dr. Y. P. Singh, Faculty in Department of Management Sciences to our satisfaction and is able to help in developing our domain knowledge and capacity building.

0 N -	Enrollment No	Name	Signature
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Department of Management Sciences (Session: 2021-22)

Ref: TIAS/2021-22/BBA/ACAD/VAC/105

Dated: 17/6/2022

(Batch -2)

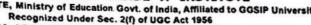
Value Added Course: Certificate Course in NISM Mutual Fund

Status as on date: 17/6/2022

This is to certify that the course module for Value Added Course titled Certificate Course in NISM Mutual Fund scheduled from 25.02.2022 to 17.06.2022 comprising of 30 Hours deliverance has been completed by the resource person Ms. Vaishali Prashad, Faculty in Department of Management Sciences with the module contents as under: -

S.No.	Contents Deliverance	Learning Outcomes
1	Concept and Role of a Mutual Fund (3 hours)	Understand the basics concepts of mutual funds such as their role, structure, different mutual fund schemes features
2	Fund Structure and Constituents (2 hours)	Learn the role and support functions of service providers of mutual funds
3	Mutual Fund Products (2 hours)	Understand the classification of mutual fund schemes
4	Performance of Mutual Funds (3 hours)	Learn about the distribution of mutual funds, evaluation of mutual fund schemes , recommendation of suitable products and services to both existing investors and prospective investors in the market
5	Mutual Funds Taxation (2 hours)	Discover about the legal ,accounting and taxation aspects of underlying mutual funds and their distribution
	Offer Document (2 hours)	Understand the key components of an offer document
	Fund Distribution and Sales Practices (2 hours)	Learn various distribution channels for mutual funds and the regulations and code of conduct for selling mutual fund schemes
	Investor Transactions (3 hours)	Learn the compliance requirements for mutual fund investors and different transaction mediums
	nvestment Services (3 hours)	Understand the systematic transaction processes and the contents and periodicity of Statement of Account
-	Asset Classes (2 hours)	Understand the objective behind investing in different asset classes through mutual funds
F	inancial Planning Concepts (2 hours)	Understand the concept of financial planning as an approach to investing in mutual funds as well as a











_	AGE.	INSTITUTIONAL AREA MADHUBAN CHOWK, ROHINI, DELHI 110085 Tel:91-11-27555121-24, E-Meil: directorties@tecnia.in, Website: www.tiespg.tecnia.in

		tool for the mutual fund distributors to develop long term relationships with their clients
12	Helping Investors with Financial Planning (4 hours)	Understand the steps of financial planning and the important parameters for selecting mutual fund schemes

All the above contents are delivered to the best of my knowledge and belief and nothing is withheld.



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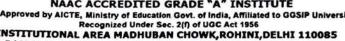




Student's Declaration

We would like to declare, that the course module for Value Added Course titled Certificate Course in NISM Mutual Fund scheduled from 25.02.2022 to 17.06.2022 comprising of 30 Hours deliverance has been completed by the resource person Ms. Vaishali Prashad, Faculty in Department of Management Sciences to our satisfaction and is able to help in developing our domain knowledge and capacity building.

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Ref: TIAS/2021-22/BBA/ACAD/VAC/105

Dated: 17/6/2022

(Batch -3)

Value Added Course: Certificate Course in NISM Mutual Fund

Status as on date: 17/6/2022

This is to certify that the course module for Value Added Course titled Certificate Course in NISM Mutual Fund scheduled from 25.02.2022 to 17.06.2022 comprising of 30 Hours deliverance has been completed by the resource person Dr. Namita Mishra, Faculty in Department of Management Sciences with the module contents as under: -

S.No.		Learning Outcomes
1	Concept and Role of a Mutual Fund (3 hours)	Understand the basics concepts of mutual funds such as their role, structure, different mutual fund schemes features
2	Fund Structure and Constituents (2 hours)	Learn the role and support functions of service providers of mutual funds
3	Mutual Fund Products (2 hours)	Understand the classification of mutual fund schemes
4	Performance of Mutual Funds (3 hours)	Learn about the distribution of mutual funds, evaluation of mutual fund schemes recommendation of suitable products and services to both existing investors and prospective investors in the market
5	Mutual Funds Taxation (2 hours)	Discover about the legal ,accounting and taxation aspects of underlying mutual funds and their distribution
	Offer Document (2 hours)	Understand the key components of an offe document
11	Fund Distribution and Sales Practices (2 hours)	Learn various distribution channels for mutual funds and the regulations and code of conduct for selling mutual fund schemes
	Investor Transactions (3 hours)	Learn the compliance requirements for mutual fund investors and different transaction mediums
	nvestment Services (3 hours)	Understand the systematic transaction processe and the contents and periodicity of Statement of Account
A	sset Classes (2 hours)	Understand the objective behind investing i different asset classes through mutual funds
Fi	nancial Planning Concepts (2 hours)	Understand the concept of financial planning as a approach to investing in mutual funds as well as



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		tool for the mutual fund distributors to develop long term relationships with their clients
12	Helping Investors with Financial Planning (4 hours)	Understand the steps of financial planning and the important parameters for selecting mutual fund schemes

All the above contents are delivered to the best of my knowledge and belief and nothing is withheld.

Dated: (7/6/12_



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Tel:91-11-27555121-24, E-Mail : directortlas@tecnia.in, Website: www





Student's Declaration

We would like to declare, that the course module for Value Added Course titled Certificate Course in NISM Mutual Fund scheduled from 25.02.2022 to 17.06.2022 comprising of 30 Hours deliverance has been completed by the resource person Dr. Namita Mishra Faculty in Department of Management Sciences to our satisfaction and is able to help in developing our domain knowledge and capacity building.

Total Salar			Signature
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Department of Management Sciences (Session: 2021-22)

Ref: TIAS/2021-22/BBA/ACAD/VAC/105

Dated: 17/6/2022

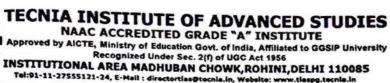
(Batch -4)

Value Added Course: Certificate Course in NISM Mutual Fund

Status as on date: 17/6/2022

This is to certify that the course module for Value Added Course titled Certificate Course in NISM Mutual . Fund scheduled from 25.02.2022 to 17.06.2022 comprising of 30 Hours deliverance has been completed by the resource person Dr. Ritwik Bisariya, Faculty in Department of Management Sciences with the module contents as under: -

S.No.	Contents Deliverance	Learning Outcomes	
1	Concept and Role of a Mutual Fund (3 hours)	Understand the basics concepts of mutual funds such as their role, structure, different mutual fund schemes features	
2	Fund Structure and Constituents (2 hours)	Learn the role and support functions of service providers of mutual funds	
3	Mutual Fund Products (2 hours)	Understand the classification of mutual fund schemes	
4	Performance of Mutual Funds (3 hours)	Learn about the distribution of mutual funds evaluation of mutual fund schemes recommendation of suitable products and service to both existing investors and prospective investors in the market	
5	Mutual Funds Taxation (2 hours)	Discover about the legal ,accounting and taxation aspects of underlying mutual funds and their distribution	
6	Offer Document (2 hours)	Understand the key components of an offer document	
7	Fund Distribution and Sales Practices (2 hours)	Learn various distribution channels for mutua funds and the regulations and code of conduct for selling mutual fund schemes	
8	Investor Transactions (3 hours)	Learn the compliance requirements for mutual fund investors and different transaction mediums	
9	Investment Services (3 hours)	Understand the systematic transaction processes and the contents and periodicity of Statement of Account	
10	Asset Classes (2 hours)	Understand the objective behind investing in different asset classes through mutual funds	
11	Financial Planning Concepts (2 hours)	Understand the concept of financial planning as an approach to investing in mutual funds as well as a	





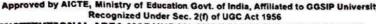
	8	tool for the mutual fund distributors to develop long term relationships with their clients
12	Helping Investors with Financial Planning (4 hours)	Understand the steps of financial planning and the important parameters for selecting mutual fund schemes

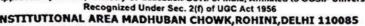
All the above contents are delivered to the best of my knowledge and belief and nothing is withheld.

Dr. Ritwik Bisarrya

17/6/22













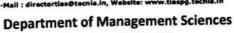
Student's Declaration

We would like to declare, that the course module for Value Added Course titled Certificate Course in NISM Mutual Fund scheduled from 25.02.2022 to 17.06.2022 comprising of 30 Hours deliverance has been completed by the resource person Dr. Ritwik Bisariya, Faculty in Department of Management Sciences to our satisfaction and is able to help in developing our domain knowledge and capacity building.

S.No	Enrollment No	Name	Signature
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1.	00921301726	Awatik Kaboor	Alfanoar
2.	00521301720	HOUTHK RAPPORT	Himandhu
3.	01017003920	Himanahu Bhanot	Danisla
4.	01621301720	Amisha bakl	trin
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(Session: 2021-22)



Ref: TIAS/2021-22/BBA/ACAD/VAC/105

Dated: 17/6/2022

(Batch -5)

Value Added Course: Certificate Course in NISM Mutual Fund

Status as on date: 17/6/2022

This is to certify that the course module for Value Added Course titled Certificate Course in NISM Mutual Fund scheduled from 25.02.2022 to 17.06.2022 comprising of 30 Hours deliverance has been completed by the resource person Ms. Shimpy Goyal, Faculty in Department of Management Sciences with the module contents as under: -

S.No.	Contents Deliverance	Learning Outcomes	
1	Concept and Role of a Mutual Fund (3 hours)	Understand the basics concepts of mutual funds such as their role, structure, different mutual fund schemes features	
2	Fund Structure and Constituents (2 hours)	providers of mutual funds	
3	Mutual Fund Products (2 hours)	Understand the classification of mutual fun- schemes	
4	Performance of Mutual Funds (3 hours)	Learn about the distribution of mutual fund evaluation of mutual fund schemes recommendation of suitable products and service to both existing investors and prospective investors in the market	
5	Mutual Funds Taxation (2 hours)	Discover about the legal ,accounting and taxas aspects of underlying mutual funds and to distribution	
6	Offer Document (2 hours)	Understand the key components of an offer document	
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9	Investment Services (3 hours)	Understand the systematic transaction processe and the contents and periodicity of Statement of Account	
10	Asset Classes (2 hours)	Understand the objective behind investing in different asset classes through mutual funds	
11	Financial Planning Concepts (2 hours)	Understand the concept of financial planning as an approach to investing in mutual funds as well as a	

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		tool for the mutual fund distributors to develop long term relationships with their clients
12	Helping Investors with Financial Planning (4 hours)	Understand the steps of financial planning and the important parameters for selecting mutual fund schemes

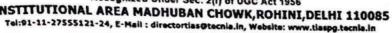
All the above contents are delivered to the best of my knowledge and belief and nothing is withheld.

Ms. Shimpy G Name of Resource Person: Dated: 17/6/2022



by AICTE, Ministry of Education Govt. of India, Affiliated to GGSIP University

Recognized Under Sec. 2(f) of UGC Act 1956





Student's Declaration

We would like to declare, that the course module for Value Added Course titled Certificate Course in NISM Mutual Fund scheduled from 25.02.2022 to 17.06.2022 comprising of 30 Hours deliverance has been completed by the resource person Ms. Shimpy Goyal, Faculty in Department of Management Sciences to our satisfaction and is able to help in developing our domain knowledge and capacity building.

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Department of Management Sciences (Session: 2021-22)

Ref: TIAS/2021-22/BBA/ACAD/VAC/105

Dated: 17/6/2022

(Batch -6)

Value Added Course: Certificate Course in NISM Mutual Fund

Status as on date: 17/6/2022

This is to certify that the course module for Value Added Course titled Certificate Course in NISM Mutual Fund scheduled from 25.02.2022 to 17.06.2022 comprising of 30 Hours deliverance has been completed by the resource person Ms. Ambooj, Faculty in Department of Management Sciences with the module contents as under: -

S.No.	Contents Deliverance	Learning Outcomes	
1	Concept and Role of a Mutual Fund (3 hours)	Understand the basics concepts of mutual funds such as their role, structure, different mutual fund schemes features	
2	Fund Structure and Constituents (2 hours)	Learn the role and support functions of service providers of mutual funds	
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0	Asset Classes (2 hours)	Understand the objective behind investing in different asset classes through mutual funds	
L	Financial Planning Concepts (2 hours)	Understand the concept of financial planning as an approach to investing in mutual funds as well as a	

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		tool for the mutual fund distributors to develop long term relationships with their clients
12	Helping Investors with Financial Planning (4 hours)	Understand the steps of financial planning and the important parameters for selecting mutual fund schemes

All the above contents are delivered to the best of my knowledge and belief and nothing is withheld.

Signature of Resource Herson

Ms. Amboot. Name of Resource Person:

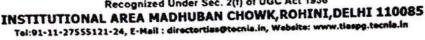
Dated:

17/6/22



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Student's Declaration

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